



FINANCIALDESIGNS

Your best life, by design.

Financial Designs Privacy Policy

Due to federal regulations we are required to provide you with the following information.

Financial Designs, Inc. is a registered investment adviser (RIA). We realize that privacy is a matter of utmost concern for all individuals and Financial Designs, Inc. is committed to safeguarding your personal information. To protect your right to privacy, we have adopted standards and procedures designed to prevent misuse of your personal information. Our approach to personal privacy can be summarized as follows:

- Personal information is gathered for business purposes only,
- Personal information is shared only with those individuals or third parties who have a business reason to access that information, and
- Personal information is never sold to an outside agency for any reason.

Why does Financial Designs need my personal information and how is it collected?

As indicated above, Financial Designs must gather personal information about you to provide services as a RIA. This information is also required to distinguish your account from other accounts, to prevent unauthorized persons from accessing your account and is required by law.

Information about you is collected at the time an account is opened. Anyone who becomes a customer of the RIA must complete New Account Information forms. Periodic updates of your account information must also be furnished to us.

Financial Designs will never sell your personal information in our possession to an outside agency.

Parties To Whom We Disclose Information

For current and former clients, we do not disclose any nonpublic personal information obtained in the course of our practice except as required or permitted by law.

- As requested by credit bureaus or similar reporting agencies in accordance with customary business practices and to the extent permitted by law
- As required by regulatory agencies, governmental agencies or auditors;
- In the event we sell or merge parts of our business.

Our employees and affiliates are required to protect the confidentiality of your personal information and we maintain certain electronic safeguards to assist us in monitoring compliance. Customer files and statements are kept in secure areas. Employees are reminded in an Annual Compliance Agreement to maintain customer confidentiality. Employees not adhering to Financial Designs confidentiality standards risk disciplinary measures that may include termination.

We will share personal information with nonaffiliated parties if they agree to adhere to our privacy policies.

Please call if you have any questions, because your privacy, our professional ethics, and ability to provide you with quality financial services are very important to us.

Financial Designs, Inc. – Form ADV – Firm Brochure

If you would like a current copy of the Financial Designs, Inc. Form ADV Firm Brochure, please contact Judy Bills at 952-843-0300 or by email Judy@FDI-online.com